

Facilities

Board of Trustees Policy

SUBJECT:	NUMBER:			
Records Retention and Disposition Schedule	5.3 DATE: October 18, 2022			
	SUPERSEDES: Resolution 16-27, Passed by BOT, February 22, 2016			

Purpose

This policy identifies the requirements of applicable federal and New York State law for the retention and disposition of records and sets the expectations for SUNY Schenectady County Community College (the "College" or "SUNY Schenectady") and its employees for the retention and destruction of records pursuant to such laws.

Policy

A. Definitions:

- 1. Records: All paper and electronic documents, communications, and other materials maintained by the College.
- 2. Records Retention: The period of time in which records must be retained by the College. Generally, records must be retained for at least six (6) years, unless there are different retention periods in the Schedule or if such records must be retained indefinitely for administrative, legal or fiscal purposes.
- 3. Schedule: SUNY Schenectady follows the *Records Retention and Disposition Schedule for New York Local Government Records, LGS-1* (the "Schedule"), issued pursuant to Section 57.2 of the Arts and Cultural Affairs Law and 8 NYCRR Part 185 Regulations of the Commissioner of Education. that contains legal minimum retention periods for local government records. All Records Retention Schedules are posted by the New York State Archives from time to time under Records Management at http://www.archives.nysed.gov/records/records-management. The 2022 version of the applicable Schedule is available at http://www.archives.nysed.gov/common/archives/files/lgs-1-2022.pdf.

B. Purposes of Schedule:

The purposes of this Schedule are to:

- 1. Ensure that records are retained as long as needed for administrative, legal and fiscal purposes;
- 2. Ensure that state and federal record retention requirements are met;
- 3. Ensure that records with enduring historical and other research value are identified and retained permanently; and
- 4. Encourage and facilitate the systematic disposal of unneeded records.

C. President's Council Roles & Responsibilities:

Each President's Council member shall:

- 1. Ensure that all Department Heads in their reporting lines fully comply with this Policy.
- 2. Meet with all Department Heads semi-annually to review any updates to the Schedule.
- 3. Sign off on any document destruction and retention plans and implementation schedules for all Department Heads in their reporting lines.

D. Department Head Roles & Responsibilities:

- 1. On at least a semi-annual basis, Department Heads shall review updates to the Schedule.
- 2. By September 1st of each year, Department Heads shall:
 - a) Complete an inventory of all Departmental records.
 - b) Determine which components of the Schedule apply to materials within their Department.
 - c) Review Departmental records to determine which records shall be disposed of and which must be maintained for administrative, legal, fiscal or historical reasons.
 - d) Develop a plan for systematic disposal and archival of records to include setting a date by which all records will be reviewed, and determining which records should be disposed of and which records will be retained until a certain date.
 - e) Obtain approval of such plan from the applicable member of the President's Council.
 - f) Implement the approved plan for disposal and archival of records within their Department. This includes:
 - Completing the Records Disposition Document for all documents that

will be disposed of;

- Marking boxes of records for storage with labels including the applicable department, information regarding the contents of each box, and a destruction date for such contents; and
- Maintaining a log of all boxes that are stored.
- Provide of a copy of the document box log to the Office of Administration on an annual basis.

The Records Storage Form, sample label, and a Records Disposition Log are attached to this Policy.

SUNY Schenectady County Community College
5.3 Records Retention Policy - Document Storage Log
Instructions

Please use this log to record all documentation being forwarded to storage.

Records must be categorized for disposition in accordance with NYS Retention and Disposition Schedules and SUNY Schenectady policy.

Annual Document Storage Log must be sent to the Office of Administration by September 30th of each year.

All boxes must be <u>labeled</u> for storage including the name of your department, contents of each box, and destruction date for the contents.

<u>See</u> Sample Label attached to applicable SUNY Schenectady policy.

Resources:

- 1 Board of Trustees Policy 5.3 Records Retention and Disposition Schedule https://www.sunysccc.edu/PDF/About%20SCCC/Policies%20and%20Procedures/Facilities/5.3-RecordsRetentionDispositionSchedule.pdf
- 2 New York State Archives Records Retention Schedules http://www.archives.nysed.gov/records/records-management
- 3 New York State Archives Records Retenton and Disposition Schedule for New York Local Government Records (LGS-1) as updated from time to time 2022 version of the applicable Schedule is available at http://www.archives.nysed.gov/common/archives/files/lgs-1-2022.pdf

5.3 - Annual Records Retention Storage Log

Box No.	Department	Subject Matter	Format	Date of Contents	Disposition	Scheduled for Destruction	Method of Destruction	Storage Location	Date Destroyed	Notes:
		Procurement File: Legal Services	Files	01/01/16	6 years	12/31/21	shred	Kindl Basement		SAMPLE
1001	Aummstration	Frocurement File. Legal Services	riies	01/01/10	0 years	12/31/21	SIIIEU	KIIIUI BUSEIIIEIIL	<u> </u>	SAIVIFLE
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(Department Name) RECORDS

Content Description
Content description

(Department Name) RECORDS

Content Description
Content description

DO NOT DESTROY BEFORE:

December 31, 2019

Box ####

DO NOT DESTROY BEFORE:

December 31, 2019

Box ####

(Department Name) RECORDS

Content Description
Content description

PROCUREMENT RECORDS Legal Services

RFP 09-2013

DO NOT DESTROY BEFORE:

December 31, 2019

Box ####

DO NOT DESTROY BEFORE:

August 31, 2019 **1064**

			chenectady Cour				I		
	No.								
Records Disposition Document							Page of Pages		
1.	Department:			2. Contac	t:		Telephone/Ext:		
							-		
3.			luled records lis	ted in Item 5	are to be disposed o	f in the manner o	hecked below		
	(specify only or	=				.6.			
	. Destruction (S		o. Scanning and		□ c. Other (sp		and that any		
4. SUBMITTED BY : I hereby certify that the records to be disposed of are correctly represented below, and that audit requirements for the records have been fully justified, and that further retention is not required for any									
	·	ing or imminent.	nas nave been i	any jastinea,	, and that farther rett	incion is not requ	inca for any		
	, G. 1	0							
	Signature			Name and T			Date		
2 50	hedule No.	b.Item No.	5. LI	ST OF RECOF	e.Inclusive Dates	f.Approximate g.Disposition Action			
a.sc	neddie No.	b.item No.	c.nue	u.Neterition	e.inclusive bates	Volume in Cubic	and Date		
						Feet	(Completed after Authorization)		
							,		
6.	Disposal Authoriza	<u>l</u> ation: Disposal for th	<u>l</u> e above listed	7. DISPOSAL CERTIFICATE: The above listed records have been disposed of in					
	records is authoriz	zed. Any deletions or			ner and on the date show		·		
	are indicated.								
				Signature		Date			
Cus	stodian/Records	Manager	Date	Name and	litie				
				Witness					